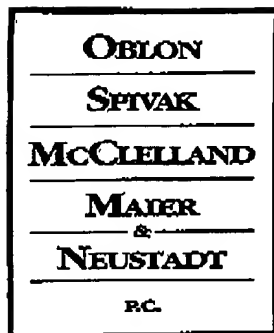


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MESSAGE

Attached hereto are copies of the Information Disclosure Statement and PTO Form 1449 along with the date-stamped filing receipt filed on October 30, 2001.

Unless otherwise indicated or obvious from the nature of the transmittal, the information contained in this facsimile message is attorney privileged and confidential information intended for the use of the individual or entity named above. If the reader of this message is not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error or are not sure whether it is privileged, please immediately notify us by telephone and return the original message to us at the above address via the U.S. Postal Service at our Expense. Thank You.

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Dept: PP
By: MIS/sdc
OSMM&N File No. 207188US2PCT
Serial No. 09/830,849
In the matter of the Application of: Takuji MOMURA et al.
For: OPTICAL HEAD DEVICE

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application
- ☐ Notice of Priority
- ☐ Check for
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement
- ☒ Cited References (6)
- ☒ INTERNATIONAL Search Report
- ☐ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advanced Serial Number Card

DCPA
☐ Priority Doc
☒ Dep. Secy Order Form



☒ PTO-1449

☐ Cited Pending Applications ()
☐ Election Response

Docket No. 207188US2PCT/sdc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takuji NOMURA, et al.

SERIAL NO: 09/830,849

GAU:

FILED: July 31, 2001

EXAMINER:

FOR: OPTICAL HEAD DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

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SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are ~~other statements of relevancy~~ or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.Marvin J. Spivak
Registration No. 24,913

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMEX 10/98)

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 207188US2PCT		SERIAL NO. 09/830,849	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takuji NOMURA, et al.			
				FILING DATE July 31, 2001		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AJ						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	11-110802	04/23/99	JAPAN			X
	AP	9-128785	05/16/97	JAPAN			X
	AQ	8-74033	03/19/96	JAPAN			X
	AR	10-221703	08/21/98	JAPAN			X
	AS	10-188332	07/21/98	JAPAN			X
	AT	10-334491	12/18/98	JAPAN			X
	AU						
	AV						
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)							
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